

# Withdrawal Request Form

Please complete and return **this form** if you wish to request to withdraw either a portion, or the full amount, of your current Ellerston Fund holding(s). Note: there may be minimum withdrawal amounts and/or balances held within each Fund. Please refer to the Product Disclosure Statement (PDS) or Information Memorandum (IM) for further information.

Please return completed form to:  
 Ellerston Capital Limited Unit Registry  
 C/O Automic Group  
 GPO Box 5193, Sydney NSW 2001  
 Telephone: 1300 101 595  
 Email: [ellerstonfunds@automicgroup.com.au](mailto:ellerstonfunds@automicgroup.com.au)

## Investor Details

Investor number

Registered investor name(s)

Telephone number (business hours)

## A. Withdrawal instructions

Please enter either the Australian dollar amount or number of units you wish to withdraw below. This request must meet the minimum remaining account balance for relevant funds otherwise Ellerston Capital Limited (Ellerston) reserves the right to reject your withdrawal request or treat the instruction as a full redemption.

Retail Funds	APIR Code	Fund code	Amount (\$AUD)	Amount (Units)	Tick if full redemption
Ellerston Asia Growth Fund Complex ETF <sup>1</sup>	ECL1411AU	EAFZ			
Ellerston Australian Absolute Return Fund <sup>1</sup>	ECL0013AU	EAARF			
Ellerston Australian Emerging Leaders Fund – Class A Units <sup>1</sup>	ECL6748AU	EAELF			
Ellerston Australian Micro Cap Fund – Class A <sup>1</sup>	ECL0984AU	EAMCF			
Ellerston Australian Share Fund <sup>1</sup>	ECL0005AU	EASF			
Ellerston Global Equity Managers Fund – Class A <sup>3</sup>	ECL0002AU	EGEMF			
Ellerston Global Equity Managers Fund – Class B	ECL0007AU	EGEMF			
Ellerston Global Equity Managers Fund – Class C <sup>4</sup>	ECL0006AU	EGEMF			
Ellerston Global Mid Small Cap Fund – Class A <sup>1</sup>	ECL8388AU	EGMSCF			
Ellerston Global Mid Small Cap Fund – Class B <sup>1</sup>	ECL3306AU	EGMSCF			
Ellerston India Fund <sup>1</sup>	ECL0339AU	EIF			
Ellerston Equity Income KIS Fund <sup>1</sup>	ECL7259AU	EKISF			
Ellerston Micro Cap Fund – Client Class <sup>1</sup>	ECL5380AU	EMCFC			
Ellerston Mid Cap Opportunities Fund <sup>1</sup>	ECL6330AU	EMCOF			
Ellerston Fixed Income Target Return Fund – Class A Units <sup>1</sup>	ECL4489AU	EFIT			
Wholesale Funds	APIR Code	Fund code	Amount (\$AUD)	Amount (Units)	Tick if full redemption
Ellerston 2050 Fund <sup>5</sup>	ECL5651AU	E2050F			
Ellerston Overlay Australian Share Fund <sup>2</sup>	ECL0012AU	EAOF			

<sup>1</sup>Note: Minimum redemption amount for the Fund is \$5,000.

<sup>2</sup>Note: Minimum redemption amount for the Fund is \$10,000.

<sup>3</sup>Note: Minimum redemption amount for the Fund is 25,000 Units.

<sup>4</sup>Note: Minimum redemption amount for the Fund is 8,000 Units.

<sup>5</sup>Note: Minimum redemption amount for the Fund is \$50,000.

Ellerston Capital Limited ABN 34 110 397 674 AFSL 283 000

For enquiries please call our Investor Relations team on +61 2 9021 7701 between 9am and 5pm Sydney time.

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## B. Withdrawal payment instructions

Please tick the applicable box below:

Pay to the existing bank account held on file.

Pay to the bank account provided below.

Name of financial institution

Account name

BSB

Account Number

The bank account details you provide above will replace the previously nominated account and will be held on record and maintained to pay any future withdrawal proceeds and/or income distributions. This account must be with an Australian Authorised Deposit-taking Institution (ADI) and must be in the name of the investor as we will not pay to a third party or offshore bank account. Please check these details carefully as it is your responsibility to ensure all payee account details are correct. Incorrect details may result in a loss of funds and we do not guarantee their recovery. We do not accept liability for funds which are unable to be recovered.

**Please note:** If your account number does not have 9 digits please do not add zeros at the beginning or end of your account number unnecessarily as it may result in an incorrect payment. You should write the account number exactly as it is shown on your bank statement.

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## C. Declaration and signature

By signing this form, I/we:

- declare that I/we have read and understood and agree to be bound by the terms and conditions of the current PDS, Additional Information Booklet, Information Memorandum and Application Form for the relevant fund(s);
- declare that all details previously disclosed and provided in this Withdrawal Request Form are true and correct and I/we undertake to inform you of any changes to the information supplied as and when they occur;
- (if signing under a power of attorney) declare that I/we have not received notice of revocation of that power;
- authorise Ellerston Capital Limited (Ellerston) to act upon instructions by post or facsimile (as applicable) with regard to the units in fund(s) subscribed for (and any further units acquired) or any matter in connection with them or any of them without liability in respect of any transfer, payment or any other act done in accordance with such instructions and notwithstanding the same was not signed or sent by me/us. I/We agree that this authorisation shall remain in force until notice in writing of its termination is received by Ellerston;
- acknowledge that investments in the fund(s) are subject to investment risk, including possible delays in repayment and loss of income or principal invested;
- acknowledge all personal information is collected in line with Ellerston's Privacy Statement, a copy of which is available at [www.ellerstoncapital.com](http://www.ellerstoncapital.com);
- understand and acknowledge that I/we may be requested to provide identification documents to meet the requirements of the Anti-Money Laundering and Counter-Terrorism Financing legislation; and
- acknowledge that, where I/we have executed this document using a signature applied electronically, or where I/we provide a scanned or other digital copy of a signed version of this document to Ellerston, the decision on whether to accept the electronically signed or digital copy of the document is at Ellerston's absolute discretion. Where such a document is accepted by Ellerston, I/we agree that Ellerston will be entitled to assume (without making any further enquiries) that the I/we have applied (or have authorised the application of) all signatures, and that any digital copy is a true copy of an original document, and to act on the document as if it had been signed by the me/us, and I/we agree to release, discharge and indemnify Ellerston, and any other related or associated entities of Ellerston, from and against any and all actions, proceedings, accounts, claims, costs, demands, charges and expenses, losses and liabilities, however arising as a result of the above.

Investor Type	Who should sign
Individual	where the investment is in one name, the investor must sign.
Joint investors	where the investment is in more than one name, all investors must sign or unless otherwise authorised.
Company	two directors or a director and a company secretary, unless you are a sole director and sole company secretary or an authorised signatory previously noted by the registry.
Trust	each trustee must sign unless otherwise authorised or, if a corporate trustee, then as for a company.
Partnership	each partner.
Association or Registered co-operative	each office bearer.
Government body	relevant principal officer/authorised signatory.
Power of attorney	if signed under a power of attorney, the power of attorney must have been previously noted by the registry.

Signature 1

Signature 2

Name

Name

Date

Date

Title

Title

Investor 1 (individual)      Secretary  
Sole director & secretary      Non-corporate trustee  
Partner      Director  
Other office bearer or attorney (please specify):

Investor 2 (individual)      Secretary  
Sole director & secretary      Non-corporate trustee  
Partner      Director  
Other office bearer or attorney (please specify):

**Important: We will only accept withdrawal requests via fax or email where you have instructed us to pay to an existing bank account held on file.**

**Once completed, please send this form to:**

Ellerston Capital Limited Unit Registry  
C/O Automic Group  
GPO Box 5193, Sydney NSW 2001  
ellerstonfunds@automicgroup.com.au